

Application Form
Client Investment profile Questionnaire
LEGAL ENTITIES

Reviewed by: CO
Date of last review: 27/11/2023
Date of next review: 27/11/2024
Approved by BOD: 30/11/2023

WARNING: Unless this questionnaire is fully completed, we will not be able to assess the appropriateness of any envisaged services or transactions

Name of the Customer					
Trading Name					
CONTACT DETAILS	Head office address				
	Telephone number(s)				
	Fax number				
	Email address				
	Website				
REGISTRATION DETAILS	Country of incorporation				
	Date of incorporation				
	Registering authority				
	Registration number				
	Registered address				
	LEI code				
PASSPORT /IDENTIFICATION CARD DETAILS		Director	Director	Director	Director
	First name				
	Last name				
	Middle/initial				

	Gender				
	Number				
	Series				
	Date of issue				
	Date of expire				
	Issuing authority				
	Nationality				
	Place of birth				
	Date of birth				
Current full permanent address					
Profession					
Telephone number(s)					
Fax number					
Email address					
PASSPORT /IDENTIFICATION CARD DETAILS		Shareholder/ Beneficial Owner	Shareholder/ Beneficial Owner	Shareholder/ Beneficial Owner	Shareholder/ Beneficial Owner
	First name				
	Last name				
	Middle/initi al				
	Gender				
	Number				

	Series				
	Date of issue				
	Date of expire				
	Issuing authority				
	Nationality				
	Place of birth				
	Date of birth				
Respective share					
Current full permanent address					
Profession					
Telephone Number(s)					
Fax number					
Email address					
PASSPORT /IDENTIFICATION CARD DETAILS		Authorised representative or signatory	Authorised representative or signatory	Authorised representative or signatory	Authorised representative or signatory
	First name				
	Last name				
	Middle/initial				
	Gender				
	Number				
	Series				

	Date of issue				
	Date of expire				
	Issuing authority				
	Nationality				
	Place of birth				
	Date of birth				
Term of Authority					
Current full permanent address					
Profession					
Telephone Number(s)					
Fax number					
Email address					
Customer's Activities		<input type="checkbox"/> National or regional government <input type="checkbox"/> Pension Fund or its managing company <input type="checkbox"/> Public body managing public debt <input type="checkbox"/> Listed company <input type="checkbox"/> International institution such as World Bank, IMF etc. <input type="checkbox"/> Private enterprise <input type="checkbox"/> Credit institution <input type="checkbox"/> Trust <input type="checkbox"/> Investment Firm <input type="checkbox"/> Fund <input type="checkbox"/> Regulated or authorised financial institution (please specify) _____ <input type="checkbox"/> Insurance company			

	<input type="checkbox"/> Undertakings for Collective investment scheme or its managing company <input type="checkbox"/> Other (please specify) _____		
CUSTOMER EXPERIENCE WITH FINANCIAL INSTRUMENTS	<input type="checkbox"/> Extensive (> 5Y) experience <input type="checkbox"/> Moderate (2Y-5Y) <input type="checkbox"/> Little (<2Y) <input type="checkbox"/> No		
	Nature of financial instruments	Average value of transactions over the previous year (Euro)	Average frequency of transactions per quarter over the previous year
	<input type="checkbox"/> Transferable securities		
	<input type="checkbox"/> Corporate bonds		
	<input type="checkbox"/> Government or municipal bonds		
	<input type="checkbox"/> Promissory notes		
	<input type="checkbox"/> Units in collective investment undertakings (UCTIS)		
	<input type="checkbox"/> Options, futures, swaps, FRAs relating to securities		
	<input type="checkbox"/> Options, futures, swaps, FRAs relating to commodities that must be settled in cash		
<input type="checkbox"/> Options, futures, swaps, FRAs relating to commodities that can be physically settled and also they are traded			

	<input type="checkbox"/> UCTIS <input type="checkbox"/> Repos <input type="checkbox"/> Options, futures, swaps, FRAs relating to instrument securities <input type="checkbox"/> Other <input type="checkbox"/> Options, futures, swaps, FRAs relating to instrument commodities that must be settled in cash <input type="checkbox"/> Other
Duration of investment	<input type="checkbox"/> Day trading <input type="checkbox"/> 6 - 12 months <input type="checkbox"/> 1 – 3 years <input type="checkbox"/> 3 – 5 years <input type="checkbox"/> 5 – 10 years <input type="checkbox"/> > 10 years
Expected frequency of transactions per year	<input type="checkbox"/> 1-5 <input type="checkbox"/> 5-10 <input type="checkbox"/> 10-50 <input type="checkbox"/> 50-99 <input type="checkbox"/> 100+
Level of investment risk	<input type="checkbox"/> High <input type="checkbox"/> Medium <input type="checkbox"/> Low
Investment objectives <small>1</small>	<input type="checkbox"/> Capital Protection <input type="checkbox"/> Capital Growth <input type="checkbox"/> Speculation

		<input type="checkbox"/> Income Generation <input type="checkbox"/> Other (please specify)	<input type="checkbox"/> Income Generation + Capital Growth
	Funds available for investmen ts	EURO	
CHOOSE BASE CURRENCY		<input type="checkbox"/> USD <input type="checkbox"/> EUR <input type="checkbox"/> GBP <input type="checkbox"/> YPY <input type="checkbox"/> CHF	
CHOOSE LEVERAGE (If Applicable)		<input type="checkbox"/> 1:5 <input type="checkbox"/> 1:10 <input type="checkbox"/> 1:20 <input type="checkbox"/> 1:25 <input type="checkbox"/> 1:50 <input type="checkbox"/> 1:75 <input type="checkbox"/> 1:100 <input type="checkbox"/> 1:125 <input type="checkbox"/> 1:150 <input type="checkbox"/> 1:175 <input type="checkbox"/> 1:200 <input type="checkbox"/> 1:500	
ANTICIPATED YEARLY ACCOUNT TURNOVER		<input type="checkbox"/> ≤ 10,000 <input type="checkbox"/> ≤ 30,000 <input type="checkbox"/> ≤ 50,000 <input type="checkbox"/> ≤ 100,000 <input type="checkbox"/> ≤ 500,000 <input type="checkbox"/> ≤ 1,000,000 <input type="checkbox"/> ≥ 1,000,000	
Description of the Customer's business			
Authority regulating Customer's activities (Name/details of license)			
Source of funds			
Expected origin of incoming funds to be credited in the account			
Expected destination of outgoing transfers			
Annual turnover (euro)			
Gross profit			
Net profit			

Balance sheet total (euro)	
Own funds (euro)	
Persons on which behalf customer is acting (if applicable)	
Purpose and reason for opening the account	
Description of ownership structure of the customer (Group) (up to the ultimate beneficial owner) Country of incorporation of the parent company, subsidiary companies and associate companies	
PERSONAL DECLARATION	<p>Do any of the Directors/shareholders/beneficial owners/authorised signatories/representatives hold or held in the last 12 months any prominent public functions (i.e. head of State, head of government, minister or deputy or assistant minister; member of parliament; member of supreme courts, of constitutional courts or of other high-level judicial bodies; member of courts of auditors or of the boards of central bank; ambassador; high-ranking officer in the armed forces; member of the administrative, management or supervisory bodies of State-owned enterprises)</p> <p style="text-align: right;"><input type="checkbox"/> YES <input type="checkbox"/> NO</p>
	<p>Is any of the immediate family members or close associate of the above persons is such person? (the spouse or the person with which he/she cohabit for at least one year, their children and their spouses or the persons with which cohabit for at least one year, their parents, any natural person who is known to have joint beneficial ownership of legal entities or legal arrangements, or any other close business relations, with a PEP, any natural person who has sole beneficial ownership of a legal entity or</p>

	<p>legal arrangement which is known to have been set up for the benefit de facto of a PEP)</p> <p style="text-align: center;"><input type="checkbox"/> YES <input type="checkbox"/> NO</p>
	<p>Is the legal entity involved in the Electronic gambling /gaming activities through the internet?</p> <p style="text-align: center;"><input type="checkbox"/> YES <input type="checkbox"/> NO</p>
	<p>Does the legal entity offer services (e.g., payment provider, software house, card acquirer) to persons involved in Electronic gambling /gaming activities through the internet?</p> <p style="text-align: center;"><input type="checkbox"/> YES <input type="checkbox"/> NO</p>
	<p>Did the authorised representative work in the past 5 years in the financial services sector for more than 1 year?</p> <p style="text-align: center;"><input type="checkbox"/> YES <input type="checkbox"/> NO</p> <p>If YES please indicate position and company name</p>
	<p>Does the authorised representative have any certificate/license issue by any regulatory body or Ministry?</p> <p style="text-align: center;"><input type="checkbox"/> YES <input type="checkbox"/> NO</p> <p>If YES please provide detail information</p>
	<p>Have any of the Directors/shareholders ever declared bankruptcy?</p>



YES

NO

BANK ACCOUNT DETAILS

BANK NAME:

.....

BANK ADDRESS:

.....

ACCOUNT HOLDER NAME:

.....

JOIT ACCOUNT HOLDER NAME:

.....

ACCOUNT NUMBER:

.....

SWIFT CODE:

.....

IBAN:

.....

I

_____,

Last, first, middle, name

DULY AUTHORIZED BY AND ACTING ON BEHALF OF

_____,

Name of the Customer

	<p>HEREBY CONFIRM THE ABOVE INFORMATION IS COMPLETE, TRUE AND ACCURATE TO THE BEST OF MY KNOWLEDGE AND BELIEF.</p>				
	Date/	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	<i>Signature</i>	<i>Date</i>	<i>Month</i>	<i>Year</i>	

Notes 1:

- a) **Capital Protection:** Preserve capital while seeking growth at rate linked to a stockmarket Index. Recommended investment period is 3-5 years.
- b) **Capital Growth:** Maximize capital appreciation or the increase in value of a portfolio over the long term. Investments targeted for capital growth tend to have more risk than assets chosen for capital protection and income generation. Recommended investment period is over 5 years.
- c) **Income Generation:** Investment income earned from interest, dividends etc as opposed to that derived from increases in asset value. Risk is usually less than investments targeted for capital growth.
- d) **Income Generation + Capital Growth:** Combination of (b) and (c).
- e) **Speculation:** This type of investments does not promise safety of the initial investment along with the return on the principal amount. Speculators usually select investments with higher risk in order to profit from an anticipated price movement. Speculation involves a significant risk of the loss of the principal investment.

I hereby declare acceptance of the General Rules and Regulations on Services on the Securities Market with **WISDOMPOINT CAPITAL LTD** (referred hereinafter as "the Company"), as stated on the Company website (<https://wisdompointcapital.com>), regulating the provision of services in the securities market, in the manner prescribed by Clauses 7 and 8 of the Contract Law of the Republic of Cyprus.

I agree to be bound by the provisions of the General Rules and Regulations on Services on the Securities Market, as stated on the Company website (<https://wisdompointcapital.com>), including the conditions set out in the annexes and other addendum documents.

I hereby agree to the terms and conditions of Order Execution Policy as stated on the Company website (<https://wisdompointcapital.com>).

I am aware of the risks associated with transactions in financial instruments and release the Company from any liability resulting from the said transactions excluding the case of direct violation of the provisions of the General Rules and Regulations on Services on the Securities Market.

I hereby confirm that I have read and understood the Provisions in relation to the Investor Compensation Fund as stated on the Company website (<https://wisdompointcapital.com>).

I hereby confirm that I have read and understood the Risk Disclosure Notice as stated on the Company website (<https://wisdompointcapital.com>).

I hereby confirm that I have read and understood the Conflict of Interest Policy as stated on the Company website (<https://wisdompointcapital.com>).

I hereby confirm that I have read and understood the Complaint Handling Form as stated on the Company website (<https://wisdompointcapital.com>).

I hereby confirm that I have read and understood the Margin Transaction Rules as stated on the Company website (<https://wisdompointcapital.com>). And I give my prior express consent for the use of the instruments on specified terms in the above mentioned Rules.

I hereby confirm that I have read and understood the GDPR Disclaimer as stated on the Company website (<https://wisdompointcapital.com>).

For Official Use Only

Date in:	Checked by:
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Date processed:	Processed by:
Account Number:	
Approved By:	
Back office / Date (dd/mm/yyyy)	Compliance Officer / Date (dd/mm/yyyy)
_____ Signature	_____ Signature
_____ Date	_____ Date